



13202.000271

PCT Receipt  
JC10 Rec'd PCT/PTO 06 NOV 2001

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6  
NDB

1-30-02

In re Application of:	)	
	:	Examiner: NYA
DONALD R. RICCI, ET AL.	)	
	:	Group Art Unit: NYA
Application No.: 09/744,916	)	
	:	
Filed: June 27, 2001	)	
	:	
For: SMALL VESSEL EXPANDABLE	)	November 6, 2001
STENT AND METHOD FOR	:	
PRODUCTION OF SAME	)	

RECEIVED  
JAN 23 2002  
TC 3700 MAIL ROOM

Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
Office of Initial Patent Examination's  
Customer Service Office  
Washington, D.C. 20231

**Request for corrected filing receipt**

Dear Sir or Madam:


On the Official Filing Receipt for the above-referenced application under the field for Applicant(s), George A. Shukov's residence is listed as Los Altos Hills, CANADA.

On the Declaration that was filed as part of the Response to Notice to File Missing Requirements and Petition under C.F.R. 1.136(a) for this application the applicants' name and address is listed as follows:

George A. Shukov  
14440 De Bell Road  
Los Altos Hills, California, U.S.A.

Please change CANADA to CA. Enclosed please find a copy of the Official Filing Receipt that was issued by the USPTO for this application with the requested corrections noted in red ink.

Respectfully submitted,

A handwritten signature in black ink, reading "Richard J. Bower". The signature is written in a cursive style with a horizontal line underneath.

Attorney for Applicants

Registration No. 31,588

PATENT ADMINISTRATOR  
KATTEN MUCHIN ZAVIS  
525 West Monroe Street  
Suite 1600  
Chicago, Illinois 60661-3693



## UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 06 2001

1C48

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/744,916	06/27/2001	3739	1282	13202.00271	3	24	4

CONFIRMATION NO. 8554

27160  
PATENT ADMINISTRATOR  
KATTEN MUCHIN ZAVIS  
SUITE 1600  
525 WEST MONROE STREET  
CHICAGO, IL 60661

## FILING RECEIPT



\*OC00000006479014\*

Date Mailed: 08/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Donald R. Ricci, British Columbia, CANADA;  
George A. Shukov, Los Altos Hills, CANADA;  
Ian M. Penn, British Columbia, CANADA;  
Todd Dickson, Mountain View, CA;

CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/CA99/00694 07/29/1999  
WHICH CLAIMS BENEFIT OF 60/094,809 07/31/1998

## Foreign Applications

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

## Title

Small vessel expandable stent and method for production of same

## Preliminary Class

RECEIVED  
JAN 23 2002  
1C 3700 MAIL ROOM

606

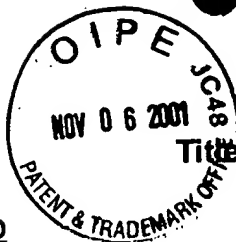
---

Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 08/27/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

RECEIVED  
NOV 23 2002  
37 CFR MAIL ROOM

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231